



FINANCIAL MARKETS LAW INTERNATIONAL



Welcome!

Financial Markets Law International



Financial Markets Law International is a specialist law firm focusing on financial markets, both UK and international. Please do not hesitate to contact us if you would like more detail on the following or would like to discuss your issues or plans with us.

Compliance and Regulation

We have been involved in compliance since the days of the first compliance officers. As well as advising on the impact of law and regulation on your business, we develop active compliance policies, procedures, systems and training using our leading FCFM model. We sit on the Financial Markets Law Committee of the Bank of England.

Innovation

We have been involved with a number of innovative developments over the years. These started with the world's first oil price master swap agreements and cash basket contracts. We have also been involved with the first alternative trading system to receive a pan European licence, and the UK's first crowd investing platform, as well as cryptocurrencies and ICOs.

Transformational Compliance

This is an area of expertise based on 25 years of experience including the UK's leading example of compliance turnaround. We have developed a unique system to deal with compliance issues which focuses upon the way in which the five compliance forces work in your organisation.

Due Diligence

Our network covering 140 jurisdictions worldwide is used by a full range of clients, commercial enterprises as well as financial institutions, to check out who they are really dealing with, for purposes of negotiation, relationship building, business development, as well as asset recovery, AML, PEP and corruption checks.

Litigation Intelligence

We do not offer litigation services ourselves but provide special intelligence to those that do, as well as direct to clients. We have often retrieved millions where hope had been lost.

Expert Witness

Our special expertise is used in an expert witness role. Cases to date include financial institutions targeted for closure, leading cases in New Zealand and Scotland, as well as individuals caught up in various difficulties.

Training

We carry out bespoke training for a wide variety of institutions. Our focus is on building tailored solutions using innovative accelerated learning techniques which boost message retention and performance by 400%.

Money Laundering Deterrence

This is one of our key areas of expertise and we have been on HM Treasury Money Laundering Experts Group, and the Joint Money Laundering Intelligence Team. We wrote one of the first books on AML and were awarded UK Anti Money Laundering Law Firm of the Year 2014. We are involved in all aspects from threat and vulnerability analysis, to building risk management systems and training solutions.

Anti Bribery and Corruption

Bribery and corruption has risen in importance in a number of countries and we have been helping a wide variety of organisations manage their risk. For this aspect, clientele includes mainstream corporate enterprise. We have acted as the UK anti bribery and corruption expert on an EU wide project.

Derivatives

We have been fortunate enough to see development of derivatives markets from acting for governments, regulators, clearing house, a number of exchanges, market participants and end users. This experience has also included acting as seconded in house counsel to LIFFE.

Establishing a Financial Markets Business in the UK

We have helped around 50 new financial institutions establish in the UK. These include derivatives traders, stockbrokers, FX dealers, investment managers, investment advisors, investment banks, crowdfunding platforms and payment institutions.

Establishing a Banking Business in the UK

London has 600 regulated banks and remains very popular for offshore banks to establish a presence, despite Brexit. We help banks establish representative offices, branches and subsidiaries and assist with the legal and regulatory aspects of developing their business.

Crowd Funding, Cryptocurrencies and ICOs

Crowdfunding is a fast developing area of financial markets. Interest here includes equity, loan and fund style platforms. We have recently had a particularly innovative crowd investing platform authorised. Others range from platforms specialising in property to art. We are also involved in development of cryptocurrencies and ICOs.

Developing Financial Markets

One of our most challenging and rewarding projects was assisting a country in getting its financial markets working properly, so that there was competition for finance and that the country was ready for entry to the European Union. We have helped countries to develop their financial markets law and regulation. We have helped central banks to develop regulatory impact analysis toolkits, and trained financial intelligence units.

Start Ups

We were a start up firm in 2003, so have a particular affinity with those willing to take the plunge and start their own enterprise. We also help clients start up new business lines and start up in countries and markets that are new to them. We sit on the Advisory Council to the UK Sichuan Business Association, which helps on relationships with China.

Website Services

Although we are firm believers in tailoring solutions to suit clients' particular circumstances, there are some areas which can be of common use. The client part of our website includes a sanctions checking tool, a global jurisdiction risk awareness tool covering all 244 of the world's jurisdictions, and a number of related support tools.

Cybercrime Deterrence

Digitisation of society is not without vulnerability. Cybercrime is the world's fastest growing crime. We chair the EU Task Force on Cybersecurity Policy for the Financial Sector. We help you analyse the risks, prepare defences, and carry out training.

FMLI Brochures

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For further assistance or an initial discussion contact us now:

Financial Markets Law International
Netherley House
18 Watford Road
St. Albans
Herts AL1 2AJ
United Kingdom

Tel: +44 1727 845897
Fax: +44 1727 855838
Mob: +44 7798 838125
Email: info@fmli.co.uk
Web: www.fmli.co.uk